



May 27, 2023

**BSE Ltd  
Phiroze Jeejeebhoy Towers,  
Dalal Street,  
Mumbai - 400 001**

INTECCAP | 526871 | INE017E01018

Dear Sir/Madam,

**Subject:** Submission of Annual Secretarial Compliance Report for the financial year ended March 31, 2023

**Reference:** [Regulation 24A of the SEBI \(Listing Obligations and Disclosure Requirements\) Regulations" 2015 \("Listing Regulations"\)](#)

Pursuant to the Regulation 24A of the SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015, please find enclosed herewith Annual Secretarial Compliance Report issued by M/s Manish K & Associates, Company Secretaries, for the financial year ended March 31, 2023.

You are requested to please take note of the same on your record.

Thanking you.  
Yours truly,  
**For Intec Capital Limited**

**SANJEEV GOEL**  
**(Sanjeev Goel)**  
**Managing Director**  
**DIN: 00028702**

Encl: a/a

INTEC CAPITAL LTD.

CIN: L74899DL1994PLC057410

Regd. Off.: 708, Manjusha Building, 57 Nehru Place, New Delhi – 110019. T +91-11465200/300 F +91-114652 2333  
[www.inteccapital.com](http://www.inteccapital.com)

Date: 25<sup>th</sup> May, 2023

To,  
The Board of Director's & Members  
Intec Capital Limited  
708, Manjusha Building,  
57 Nehru Place,  
New Delhi-110019.

**Annual Secretarial compliance report of 'Intec Capital Limited'**  
**for the Financial Year ended 31<sup>st</sup> March, 2023.**

We have conducted the review of the compliance of the applicable statutory provisions and the adherence to good corporate practices by **Intec Capital Limited** (hereinafter referred as 'the listed entity'), having its Registered Office at 708, Manjusha Building, 57 Nehru Place, New Delhi-110019, Secretarial Review was conducted in a manner that provided us a reasonable basis for evaluating the corporate conducts/statutory compliances and expressing our opinion thereon.

Based on our verification of the listed entity's books, papers, minutes books, forms and returns filed and other records maintained by the listed entity and also the information provided by the listed entity, its officers, agents and authorized representatives during the conduct of Secretarial Review, we hereby report that in our opinion, the listed entity has, during the review period covering the financial year ended on March 31, 2023, complied with the statutory provisions listed hereunder and also that the listed entity has proper Board processes and compliance mechanism in place to the extent, in the manner and subject to the reporting made hereinafter:

I, Sonal Khurana, partner of M/s Manish K & Associates, Company Secretaries, have examined:

- (a) all the documents and records made available to us and explanation provided by the Intec Capital Limited ("the Listed Entity"),
- (b) the filings/ submissions made by the Listed Entity to the stock exchanges,
- (c) website of the Listed Entity,
- (d) any other document/ filing, as may be relevant, which has been relied upon to make this certification,

For the year ended, 31<sup>st</sup> March, 2023 ("Review Period") in respect of compliance with the provisions of:

- (a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
- (b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:

- a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;

*This clause is not applicable to the Listed Entity as there was no reportable event.*

c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;

*This clause is not applicable to the Listed Entity as there was no reportable event.*

d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018;

*This clause is not applicable to the Listed Entity as there was no reportable event.*

e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014;

*This clause is not applicable to the Listed Entity as there was no reportable event.*

f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008;

*This clause is not applicable to the Listed Entity as there was no reportable event.*

g) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013;

*This clause is not applicable to the Listed Entity as there was no reportable event.*

h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015,

and circulars/ guidelines issued thereunder and based on the above examination, we hereby report that, during the Review Period:

a) The Company has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued there under in so far as it appears from our examination of those records.

b) circulars/guidelines issued thereunder, except in respect of matters specified below: The listed entity has complied with the provisions of the above Regulations and

- Refer **Annexure “A”** annexed to the Report

c) The listed entity has taken the following actions to comply with the observations made in previous reports:

- Refer **Annexure “B”** annexed to the Report

Further to the matter and as advised in the BSE Notice No. 20230329-21 dated 29th March 2023 as well as BSE Notice No. 20230410-41 dated 10th April 2023, following are the additional information which is the parts of ongoing Annual Secretarial Audit Report –

Sr. No.	Particulars	Compliance Status (Yes/No/NA)	Observations/ Remarks by PCS*
1.	<b>Secretarial Standards:</b> The compliances of the listed entity are in accordance with the applicable Secretarial Standards (SS) issued by the Institute of Company Secretaries India (ICSI), as notified by the Central Government under section 118(10) of the Companies Act, 2013 and mandatorily applicable.	Yes	Not Any
2.	<b>Adoption and timely updation of the Policies:</b> <ul style="list-style-type: none"> <li>• All applicable policies under SEBI Regulations are adopted with the approval of board of directors of the listed entities</li> <li>• All the policies are in conformity with SEBI Regulations and have been reviewed &amp; updated on time, as per the regulations/circulars/guidelines issued by SEBI</li> </ul>	Yes	Not Any
3.	<b>Maintenance and disclosures on Website:</b> <ul style="list-style-type: none"> <li>• The Listed entity is maintaining a functional website</li> <li>• Timely dissemination of the documents/ information under a separate section on the website</li> <li>• Web-links provided in annual corporate governance reports under Regulation 27(2) are accurate and specific which re-directs to the relevant document(s)/section of the website</li> </ul>	Yes	Not Any
4.	<b>Disqualification of Director:</b> None of the Director(s) of the Company is/ are disqualified under Section 164 of Companies Act, 2013 as confirmed by the listed entity.	Yes	Not Any
5.	<b>Details related to Subsidiaries of listed entities have been examined w.r.t.:</b> <ul style="list-style-type: none"> <li>(a) Identification of material subsidiary companies</li> <li>(b) Disclosure requirement of material as well as other subsidiaries</li> </ul>	N.A	The Company does not have any material Subsidiary. However Company has one Wholly Owned Subsidiary i.e. Amulet Technologies Limited.

6.	<b>Preservation of Documents:</b>  The listed entity is preserving and maintaining records as prescribed under SEBI Regulations and disposal of records as per Policy of Preservation of Documents and Archival policy prescribed under SEBI LODR Regulations, 2015.	Yes	Not Any
7.	<b>Performance Evaluation:</b>  The listed entity has conducted performance evaluation of the Board, Independent Directors and the Committees at the start of every financial year/during the financial year as prescribed in SEBI Regulations.	Yes	Not Any
8.	<b>Related Party Transactions:</b>  (a) The listed entity has obtained prior approval of Audit Committee for all related party transactions; or  (b) The listed entity has provided detailed reasons along with confirmation whether the transactions were subsequently approved/ratified/rejected by the Audit Committee, in case no prior approval has been obtained.	Yes  No such Case	Not Any  Not Any
9.	<b>Disclosure of events or information:</b>  The listed entity has provided all the required disclosure(s) under Regulation 30 along with Schedule III of SEBI LODR Regulations, 2015 within the time limits prescribed thereunder.	Yes	Not Any
10.	<b>Prohibition of Insider Trading:</b>  The listed entity is in compliance with Regulation 3(5) & 3(6) SEBI (Prohibition of Insider Trading) Regulations, 2015.	Yes	Not Any
11.	<b>Actions taken by SEBI or Stock Exchange(s), if any:</b>  No action(s) has been taken against the listed entity/ its promoters/ directors/ subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under SEBI Regulations and circulars/ guidelines issued thereunder except as provided under separate paragraph herein (**).	Yes	Not Any
12.	<b>Additional Non-compliances, if any:</b>  No additional non-compliance observed for any SEBI regulation/circular/guidance note etc.	Yes	Not Any

Compliances related to resignation of statutory auditors from listed entities and their material subsidiaries as per SEBI Circular CIR/CFD/CMD1/114/2019 dated 18th October, 2019:

Sr. No.	Particulars	Compliance Status (Yes/No/ NA)	Observations/ Remarks by PCS*
<b>1.</b>	<b>Compliances with the following conditions while appointing/re-appointing an auditor</b>		
	i. If the auditor has resigned within 45 days from the end of a quarter of a financial year, the auditor before such resignation, has issued the limited review/ audit report for such quarter; or ii. If the auditor has resigned after 45 days from the end of a quarter of a financial year, the auditor before such resignation, has issued the limited review/ audit report for such quarter as well as the next quarter; or iii. If the auditor has signed the limited review/ audit report for the first three quarters of a financial year, the auditor before such resignation, has issued the limited review/ audit report for the last quarter of such financial year as well as the audit report for such financial year.	NA	Not Any
		NA	Not Any
		NA	Not Any
<b>2.</b>	<b>Other conditions relating to resignation of statutory auditor</b>		
	i) Reporting of concerns by Auditor with respect to the listed entity/its material subsidiary to the Audit Committee:	NA	Not Any
	a. In case of any concern with the management of the listed entity/material subsidiary such as non-availability of information / non- cooperation by the management which has hampered the audit process, the auditor has approached the Chairman of the Audit Committee of the listed entity and the Audit Committee shall receive such concern directly and immediately without specifically waiting for the quarterly Audit Committee meetings. b. In case the auditor proposes to resign, all concerns with respect to the proposed resignation, along with relevant documents has been brought to the notice of the Audit Committee. In cases	NA	Not Any
		NA	Not Any

	<p>where the proposed resignation is due to non-receipt of information / explanation from the company, the auditor has informed the Audit Committee the details of information/ explanation sought and not provided by the management, as applicable.</p> <p>c. The Audit Committee / Board of Directors, as the case may be, deliberated on the matter on receipt of such information from the auditor relating to the proposal to resign as mentioned above and communicate its views to the management and the auditor.</p> <p>ii) Disclaimer in case of non-receipt of information:</p> <p>The auditor has provided an appropriate disclaimer in its audit report, which is in accordance with the Standards of Auditing as specified by ICAI / NFRA, in case where the listed entity/ its material subsidiary has not provided information as required by the auditor.</p>	NA	Not Any
3.	<p>The listed entity / its material subsidiary has obtained information from the Auditor upon resignation, in the format as specified in Annexure- A in SEBI Circular CIR/ CFD/CMD1/114/2019 dated 18th October, 2019.</p>	NA	Not Any

**For Manish K & Associates  
Company Secretaries**



**CS Sonal Khurana**

**Partner**

**ACS : 48922 ; C.P No. : 20473**

**UDIN: A048922E000378592**

**Date : 25 May 2023**

**Place: New Delhi**

**Annexure "A"**

**The Listed Entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, except in respect of matters specified below:**

Sr. No.	Compliance Requirement (Regulations/ circulars/guidelines including specific clause)	Regulation/ Circular No.	Deviations	Action Taken by	Type of Action	Details of Violation	Fine Amount	Observations/ Remarks of the Practicing Company Secretary	Management Response	Remarks
1.	Not Any	Not Any	Not Any	Not Any	Not Any	Not Any	Not Any	Not Any	Not Any	Not Any

**For Manish K & Associates  
Company Secretaries**


**CS Sonal Khurana****Partner****ACS : 48922 ; C.P No. : 20473****UDIN: A048922E000378592****Date : 25 May 2023****Place: New Delhi**

**Annexure "B"**

**The Listed Entity has taken the following actions to comply with the observations made in previous reports:**

Sr. No.	Compliance Requirement (Regulations/ circulars/guidelines including specific clause)	Regulation / Circular No.	Deviations	Action Taken by	Type of Action	Details of Violation	Fine Amount	Observations/ Remarks of the Practicing Company Secretary	Management Response	Remarks
01	Investor Complaint – Regulation 13(1)  Failure to ensure that adequate steps are taken for expeditious redressal of investor complaints	Regulation 13(1)	It has been observed that there was an investor complaint which remained unresolved at the end of the Quarter.	The complaint has been closed on 15 <sup>th</sup> June, 2022	The complainant has been closed on 15 <sup>th</sup> June, 2022	It has been observed that there was an investor complaint which remained unresolved at the end of the Quarter.	Not Any	The listed entity had replied via mail on 06th January, 2022 and 21st February, 2022 and filed ATR on 16.05.2022 and is pending with the Stock Exchange (BSE).	The complaint has been closed on 15 <sup>th</sup> June, 2022	

**For Manish K & Associates  
Company Secretaries**



**CS Sonal Khurana**

**Partner**

**ACS : 48922 ; C.P No. : 20473**

**UDIN: A048922E000378592**

**Date : 25 May 2023  
Place: New Delhi**